

## **PPFAS Mutual Fund**

### **PPFAS Asset Management Private Limited**

(Investment Manager to PPFAS Mutual Fund)

Registered Office: - 81/82, 8<sup>th</sup> Floor, Sakhar Bhavan, Ramnath Goenka Marg, 230 Nariman Point, Mumbai - 400 021, Maharashtra, India. Tel.: 91 22 6140 6555 Fax: 91 22 6140 6590. E-mail: [mf@ppfas.com](mailto:mf@ppfas.com). Website: [www.amc.ppfas.com](http://www.amc.ppfas.com) CIN No: - U65100MH2011PTC220623

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### **THIS NOTICE -CUM -ADDENDUM SETS OUT CHANGES TO BE MADE IN THE STATEMENT OF ADDITIONAL INFORMATION (SAI) OF PPFAS MUTUAL FUND ('THE FUND')**

#### **Addition under the section "Units held in Electronic (Demat) mode."**

Investor(s)/Unitholder(s) are requested to note the following procedures pertaining to dematerialisation or rematerialisation of mutual fund units pursuant to AMFI letter AMFI/35P/MEM-COR/72/2023-24 dated October 17, 2023:

#### **1. How to apply for/ get allotment of units in Demat mode:**

The investors who intend to deal in depository mode (Demat mode) are required to have a demat account with Central Depository Services (India) Ltd ("CDSL")/ National Securities Depository Ltd. ("NSDL").

The investor who subscribes for units through Exchanges (BSE/NSE) and wish to have units in Dematerialised form, are required to place an order for purchase of units with the AMFI certified distributor or SEBI registered investment advisors.

The investor should provide their depository account details to AMFI certified distributor or SEBI registered investment advisors.

The purchase order will be entered in the Stock Exchange system by AMFI certified distributor or SEBI registered investment advisors & an order confirmation slip will be issued to investor.

The investor will transfer the funds to the clearing corporation.

For purchase through other modes, depository account details to be mentioned if the units are required in Demat mode. Such units, post allotment, will be credited to the mentioned depository account post validation.

#### **2. How to convert the units held in SoA mode to Demat mode:**

Investors desiring to convert the physical units (SoA mode) into dematerialized form, need to submit the dematerialized request along with their latest account statement with their Depository Participant.

#### **3. How to convert the units held in Demat mode to Remat mode:**

Investor has to apply for Remat through his Depository Participants (DP) and complete the prescribed applications available at DP end. Once this is done, DP will send the same to RTA for processing.

#### **4. How to redeem the units held in Demat mode:**

The investors who hold units in dematerialised form are required to place an order for redemption with the AMFI certified distributors or SEBI registered investment advisors. The investor should provide their AMFI certified distributors or SEBI registered investment advisors with Depository Instructions Slip with relevant units to be debited from his account.

The redemption order will be entered in the system and an order confirmation slip will be issued to the investor.

Presently no limit is applicable for the redemption of units. However, redemption can be placed only in terms of units.

Investor's also have an option of placing redemption on dematerialised units directly through their depository participant, wherein DP will upload redemptions directly with the depository which will be picked by RTA for processing.

#### **5. Is switch-transaction permissible if the units are held in Demat:**

Investors who intend to switch their demat units (Switch-in and switch-out transactions), need to submit the request through Stock Exchange platforms.

#### **6. The procedure for change in investor's profile/ bank account details etc. in respect of units held in demat mode (i.e., to whom the investor is required to approach, in case of such request):**

In case of non-financial requests/ applications such as change of investor's profile, address, bank details, complaints etc. investor should approach their respective Depository Participant(s) if units are held in demat mode.

All other provisions of the SAI of the Fund except as specifically modified herein above remain unchanged.

This notice – cum - addendum shall form an integral part of the SAI of the Fund as amended from time to time and shall override the conflicting provisions, if any in this regard.

<p>Place: Mumbai Date: November 28, 2023</p>	<p><b>For PPFAS Asset Management Private Limited</b> (Investment Manager to PPFAS Mutual Fund) Sd/- <b>Director</b></p>
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**MUTUAL FUND INVESTMENTS ARE SUBJECT TO MARKET RISKS, READ ALL SCHEME RELATED DOCUMENTS CAREFULLY.**